

# A New Recommendation Method for the User Clustering-Based Recommendation System

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**Abstract.** The aim of this paper is to create a new recommendation method that would evaluate the peculiarities of user groups, and to examine experimentally the efficiency of user clustering in order to improve the recommendations. To achieve this goal, we have analysed recommendation systems (RS), their components, operating principles and data, used for accuracy evaluation. The proposed method is based on user clustering; therefore, clustering-based RS are reviewed. Finally, the proposed method is presented and tested with the most appropriate data set of all that discussed in the overview. The research has disclosed dependencies of the efficiency of recommendations on the number of clusters. The experimental results have shown that the proposed method can be applied to high density databases and the results of recommendations are better than those of traditional methods.

**Keywords:** recommendation systems; user clustering; user filtering algorithms; clustering-based recommendations.

## 1. Introduction

With a rapid development of technologies and the increasing number of internet users, more and more products and services are transferred into the virtual space. Various offers to buy something by means of internet or to use a certain service without leaving the house should save the client's time. However, new problems occur here.

First of all, how to choose a product when the majority of the offered products are very similar and the client lacks experience? Secondly, how to find the necessary product among others, often unnecessary products? Recommendation systems (RS) are widely used to solve this problem. For a great number of algorithms, used for creating user's recommendations, the data sets of users, products, and product evaluation by users are required [15]. These sets are widely accumulated in the internet shops and social websites where people have an opportunity to converse, share their opinions and, in that way, directly or indirectly evaluate products and services. Social networks store usually huge amounts of information, and that may negatively influence user social actions and reduce possibility to find useful information quickly, so recommendation systems are necessary here [27]. Thus, it is thought that internet shops and social networks are supposed to be the most useful medium for using RS.

The key aim of recommendation systems is to create new product recommendations or predict the relevance of product to a purposive consumer. In both cases, the recommendation creation process is based on the known features of a user.

The data of the estimates of products by users are important for decision making. That is the reason why big social websites and internet shops do not disclose them. However, the material of this type is available for analysis. We provide the following examples of data sets:

1. **MovieLens** movie evaluation database [11]. *MovieLens* database was developed in Minnesota University by the *GroupLens* project. Three sets are presented: 943 ratings by users for 1682 movies; in total 100000 evaluations; 6040 ratings by users for 3900 movies; in total 1000000 evaluations; 71567 ratings by users for 10681 movies; in total 10000000 evaluations. In addition, there are a basic users' demographic statistics, e.g. user's education, sex, and age. This database was used, e.g., in the research [17].

2. **Epinions** product evaluation database [7]. *Epinions* database was created by Massa [20] and used by other researchers [21], [23], [18] etc. There are two versions of the database: simple database, where 49290 users have evaluated 139738 various products and 487181 trusted reviews; full database, where 132000 users gave 841372 trust evaluations (717667 trusted

users, 123705 untrusted users), 13668319 evaluations of 1560144 products.

3. **Book Crossing** book evaluation database [2]. In this set, evaluations by 278858 users of 271379 books are stored (1149780 evaluations in total). The results of the research of the database in detail are published in [31].

4. **Jester** jokes evaluation database [16]. In this set, 73421 users evaluated 100 anecdotes. The scale of evaluation is  $[-10; 10]$ . About 1000000 of evaluations are performed. This database was used, e.g., in the research [10]. The experimental part of this paper is based on this database.

The average percentage of items that have been rated per user is called a density of the set [3]. The usual density is 1-5%, rarely may it be larger, e.g. the density of the Jester data set amounts to 50%.

The target of this paper is to create a new recommendation method, such that would evaluate the peculiarities of user groups, and to experimentally examine the efficiency of user clustering in order to improve the recommendations. The peculiarity of the proposed method is that it is oriented at the data sets with a higher density.

## 2. Basic principles of recommendation systems

Each RS has two subjects: the user and the product [29]. The subject, who is using this system and gaining new product recommendations about various products, is called an RS target user.

Consider  $m$  as the number of users and  $n$  as the number of products. Let us denote the set of users as  $A = \{a_1, a_2, \dots, a_m\}$  and the set of products as  $B = \{b_1, b_2, \dots, b_n\}$ . Each user  $a_i, i = 1, 2, \dots, m$ , has evaluated some set of products  $B_{a_i}$ , where  $B_{a_i} \subset B$ . Evaluations by the user are expressed by a certain number. It means that the evaluation  $V_{ij}$  by the user  $a_i$  of the product  $b_j, j \in \{1, 2, \dots, n\}$  can acquire some numerical value or remain empty if the product has not been evaluated by the user. Denote as  $V = \{V_{ij}, i = \overline{1, m}, j = \overline{1, n}\}$  the so-called user-item matrix of estimates of products by users. The user-item matrix of size  $m \times n$  is formed from these evaluations.

Each RS has three different stages: input, filtering (discovering of groups of products), and output [29].

The input of data into RS depends on the RS filtering mechanism and is closely connected with the data mining methods. At the information filtering stage, RS creates new offers for the user, or checks the relevance of products to the user. The major part of the filtering algorithm analyses the rows that trace user's evaluations of various products, and searches for similarities between the users for the same products. Based on the filtering results, in the output stage, RS generates the result for the target user. RS can give 2 types of outputs: prediction or recommendation [29]. Prediction is expressed by some number  $V(b_j, a_N)$ , which means a predictive evaluation of the product  $b_j$

by the target user  $a_N$ , and the recommendation is expressed as a set  $N_i$  of products that should be the most relevant to target user  $a_N$ , where  $N_i \subset B$ .

At this stage, a question often arises: how to determine the trustfulness of the recommendation, which is very important during empirical researches, when there is no opportunity to get the exact user's feedback. Frequently, having the evaluation database, a part of evaluations is separated and used by RS for checking the results. Often 10-20% of evaluations are used for checking. This number allows us to evaluate the RS accuracy.

Various algorithms are used for the creation of recommendations. They are divided into two major groups: content-based and collaborative filtering-based methods [5]. The collaborative filtering-based methods are divided into two other subcategories: memory-based and model-based methods [9]. Collaborative filtering- and content-based methods are discussed below more in detail.

Most of RS are based on the collaborative filtering method [29], [9]. This method is based on the user-item matrix, when searching for similarities among the users in the users' evaluation history. The similarities between the users are calculated using Pearson's correlation coefficient [24]:

$$p(g, r) = \frac{\sum_p (g(p) - \bar{g})(r(p) - \bar{r})}{\sqrt{\sum_p (g(p) - \bar{g})^2 \sum_p (r(p) - \bar{r})^2}} \quad (1)$$

Here  $g$  represents the user who gets a prediction,  $r$  represents a user, whose similarity is checked comparing with the user  $g$ . The similarity is calculated using  $p$  products, evaluated by both users  $g$  and  $r$ .  $g(p)$  and  $r(p)$  are evaluations of the users  $g$  and  $r$  for a particular product  $p$ , respectively.  $\bar{g}$  and  $\bar{r}$  are averages of evaluations of all the products by the users  $g$  and  $r$ , respectively.  $p(g, r)$  always belongs to the interval  $[-1; 1]$ . The users  $g$  and  $r$  are not similar, if  $p(g, r)$  is near to 0.

After the calculation of similarities between the user  $g$  and all the other users  $r$ , the system generates a prediction for the new products  $j$  by using Resnik's prediction formula (2) [23]:

$$g(j) = \bar{g} + \frac{\sum_{r \in R(j)} (r(j) - \bar{r}) p(g, r)}{\sum_{r \in R(j)} |p(g, r)|} \quad (2)$$

This formula is used to predict a possible evaluation by the user  $g$  of the product  $j$ , which is not yet evaluated by this user, however it is evaluated by similar users. The subset  $R(j)$  of similar users that will be used for generating a prediction is determined, depending on the values of Pearson's correlation coefficients and specifics of the data set. Some threshold that restricts the similarities among the users is used to form the subset  $R(j)$ .

In the stage of output, RS creates a new user-item matrix (analogical to the input user-item matrix), however, in the output matrix, RS fills in the empty cells of the input matrix with predicted meanings. In

other words, RS enters the predicted evaluations by the users, who have not evaluated the product yet.

It is important to mention that the general filtering method allows creating predictions as well as recommendations: despite the fact that the system only predicts the evaluation of the product, RS generates the recommendations based on the highest evaluations of some products by a particular user.

The researches, performed by using this method, indicate several problems and shortcomings. They are related to the accuracy of the estimated prediction:

1. The density of users' evaluations in the use-item matrix is of low percentage. There are two most common ways to solve this problem: empty meanings are ignored, or they are filled with some meanings obtained e.g. as a result of some statistical analysis.

2. Since the evaluation history of a new user is too short or does not exist at all, it is difficult to define similar users. That is why the recommendations are very inaccurate or it is even impossible to recommend something to these users. The user himself can be involved in solving of this problem: during the registration process, he is asked to evaluate some product which he has already tried.

3. The majority of users are skeptical about the recommendations made by RS because they cannot understand the way used to generate those recommendations, and which users were selected to make those recommendations. To solve this problem, the user has a possibility to choose other users which he trusts and from whom he would like to get suggestions [12].

4. RS uses the information about the behavior of similar users, but the similar users have evaluated not enough products. RS always offers only products similar to those, which are evaluated by the target user or other similar users, and that reduces the number of offered products. However, RS cannot offer some products acceptable for that user, just because they have never been rated by similar users. This problem is often solved by a hybrid RS that joins RS based on the general filtering method, and that, based on the content.

RS creates predictions and recommendations not only using the analysis of ratings. For example, feature extraction techniques aim at finding the specific pieces of data in natural language documents [14], which are used for creating profiles of both users and products. These profiles of users and products are then employed by a classifier for recommending resources. Improved technologies allow the analysis of the text documents that required a lot of technological resources earlier. One of the most common content-based methods is the Bayes method. It is assumed that this method can be used in the hybrid RS.

The main idea of the content-based recommendation methods is to classify products on the basis of their features and to offer products to the target user, taking into account their known classes.

The Naive Bayes classifier is based on the Bayes theorem with a strong independence assumption and is

suitable for the cases with high input dimensions. Based on the Bayes theorem, the probability that the product  $d$  can belong to the class  $C_j$  is calculated as follows:

$$P(C_j|d) = \frac{P(C_j)P(d|C_j)}{P(d)}. \quad (3)$$

There are four probabilities: posterior –  $(C_j|d)$ , likelihood –  $P(d|C_j)$ , prior (class) –  $P(C_j)$ , and evidence –  $P(d)$ . Due to the fact that the features of the product are considered as independent and the product has many features  $F_1, \dots, F_h$ , formula (3) may be transformed into formula (4):

$$P(C_j|d) = \frac{P(C_j) \prod_{i=1}^h P(F_i|C_j)}{P(F_1, \dots, F_h)}. \quad (4)$$

Here, the class probability  $P(C_j)$  can be calculated by formula (5):

$$\hat{P}(C_j) = \frac{B_j}{B}, \quad (5)$$

where  $B_j$  is the number of products, belonging to the class  $C_j$ , and  $B$  is the total number of classified products.

Using formula (4), the posterior probabilities of each class are calculated, and a new product is assigned to the class, where the posterior probability is the highest one [9]. It is convenient to use the Bayes method when the product has a vast variety of features. It is expedient to classify them using the most important features. However, it is difficult to distinguish more and less important features out of all the product features.

### 3. A review of user clustering-based RS

Based on the collaborative filtering, RS predicts the evaluations by target users for products and generates recommendations for these users rather precisely. However, the decision is based on the comparison of evaluations made by the target user, with that of the product evaluation data base, where the known evaluations of other users are stored, i.e. it is necessary to compare the target user with all the other users. Such a comparison takes a lot of computing time, when the number of users and products in the data set is large. This disadvantage is essential in online RSs, e.g. in the e-shops, where the recommendations should be generated in seconds.

One way to speed-up the generation of recommendations by users is clustering of data, stored in the user-item matrix  $V = \{V_{ij}, i = \overline{1, m}, j = \overline{1, n}\}$  of the estimates of products, and searching for similarities between the target user and different clusters of users. Clustering is very popular in data mining when large datasets are analysed. For example, it can be used for text mining. Nowadays, huge amounts of papers have been saved in repositories accessible over the Internet. The search engine helps us to find the desired information in the paper. Often, there arises a problem

to find similarities of some papers. One way is to group the papers using clustering methods [26].

A cluster is a collection of data samples having similar features or close relationships. For the collaborative filtering task, clustering is often an intermediate process. The clustering methods for RS are classified into several different types in [30]. One way is to partition the users into distinct clusters [25]. O'Connor and Herlocker [22] use clustering algorithms to partition the set of items, based on the user rating data. Ungar and Foster [28] combine separate clustering of users and items. The three above algorithms are all one-sided clustering, either for users or items. Some other works consider the two-sided clustering method (see e.g. [6], [13]). These methods are called as co-clustering based collaborative filtering methods in [30], since their clustering strategies are traditional co-clustering, e.g., the key idea of [8] is to simultaneously obtain user and item neighborhoods via co-clustering and to generate predictions, based on the average ratings of the co-clusters, while taking the biases of users and items into account. In this case, each cluster covers some users and some items; all the users and items are covered by the clusters; the clusters are not intersecting.

One strict limitation of the co-clustering approaches as well as the above one-sided clustering approaches, as noted in [30], is that each user or item can be clustered into a single cluster only, whereas some recommendation systems may benefit from the ability of clustering users and items into several clusters at the

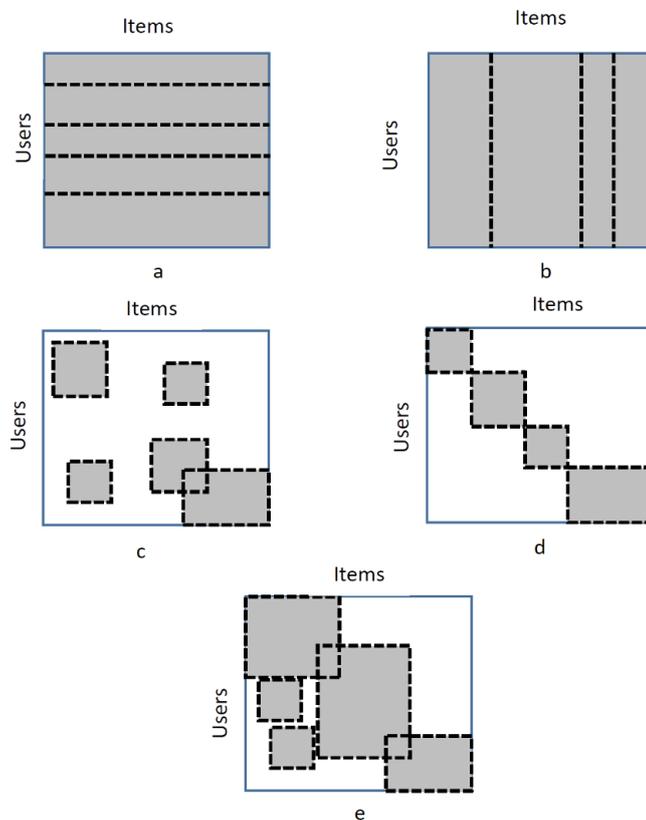
same time [1]. So, a multiclass co-clustering method is more reasonable. It allows each user and item to be in multiple subclusters at the same time, i.e., subclusters may have some overlaps.

In the biclustering method, which is well studied in the gene expression data analysis [4], [19], clusters always cannot cover all the rows and columns of the user-item matrix. Following [30], the clustering methods discussed above are presented graphically in Fig. 1, showing the coverage of the user-item matrix by clusters. A dotted line delineates the clusters; elements of the user-item matrix, covered by the clusters are presented in gray.

#### 4. User clustering-based RS regarding the inside-cluster distribution of product estimates (CLUICE)

The idea of a new recommendation strategy (denote it by *CLUICE*) is a generation of recommendations by clustering users and taking into account the inside-cluster distribution of product estimates.

Suppose that we have a user-item matrix  $V = \{V_{ij}, i = \overline{1, m}, j = \overline{1, n}\}$  of  $m$  users  $a_1, \dots, a_m$  and  $n$  products  $b_1, \dots, b_n$ . Let the user evaluate products using the integer number scale  $\{u_{min}, \dots, u_{max}\}$ , consisting of  $n_u$  elements  $n_u = u_{max} - u_{min} + 1$ . The meaning of  $V_{ij}$  indicates the evaluation by the  $i$ -th user of the  $j$ -th product.  $V_{ij} \notin \{u_{min}, \dots, u_{max}\}$ , if the  $i$ -th user has not evaluated the  $j$ -th product.



**Figure 1.** Clustering methods for collaborative filtering: a) User Clustering, b) Item Clustering, c) Biclustering, d) Co-Clustering, e) Multiclass Co-Clustering

Let us consider the matrix  $V = \{V_{ij}, i = \overline{1, m}, j = \overline{1, n}\}$  as fully filled with the evaluations (it consists of the data about  $m$  users, who have rated all the  $n$  products). This matrix can be produced out of some available user-item matrix, where the number of users is larger than  $m$ , by picking the users, who rated 100% of products, and, in this way, to form a set of  $m$  users who rated all the products. Thus, the CLUICE method is designed to generate recommendations, if the evaluation density of data is large enough. In this case,  $m$  will remain large, too. *Jester I*, *Jester II*, and *Jester III* [16] are the available data sets suitable to form the matrix  $V$ , that is fully filled with evaluations and whose  $m$  is large.

Using the matrix  $V$ , it is possible to classify the users into similar users' clusters  $C_1, C_2, \dots, C_k$  that comprise a different number of users:

$$C_1 = \{a_1^1, a_2^1, \dots, a_{m_1}^1\} \text{ with } m_1 \text{ users,}$$

$$C_2 = \{a_1^2, a_2^2, \dots, a_{m_2}^2\} \text{ with } m_2 \text{ users,}$$

(...)

$$C_k = \{a_1^k, a_2^k, \dots, a_{m_k}^k\} \text{ with } m_k \text{ users,}$$

where  $m = \sum_{l=1}^k m_l$ ,  $a_i^l$  is the  $i$ -th user of the  $l$ -th cluster,  $A = C_1 \cup C_2 \cup \dots \cup C_k$ ;  $C_1 \cap C_2 \cap \dots \cap C_k = \emptyset$ .

Each cluster  $C_l$ ,  $l = \overline{1, k}$ , has a center:

$$X_l = (x_1^l, \dots, x_n^l), x_j^l = \frac{\sum_{a_i \in C_l} V_{ij}}{m_l}, \quad (6)$$

where  $m_l$  is the number of users in the  $l$ -th cluster  $C_l$ . The dimensionality of the cluster center is  $n$  because it is equal to the number of products.

When a new user (target user)  $a_N$  joins the system,  $s$  randomly selected products  $b_{N_1}, b_{N_2}, \dots, b_{N_s}$  are presented for his evaluation from the set of products  $\{b_1, \dots, b_n\}$ . Here  $N_i$  is the number of product order between 1 to  $n$ ;  $s < n$ , and  $V_N = (V_{NN_1}, \dots, V_{NN_s})$  are ratings of the products  $b_{N_1}, b_{N_2}, \dots, b_{N_s}$  by the new user.

After obtaining the new evaluations  $V_{NN_1}, \dots, V_{NN_s}$  of  $s$  products  $b_{N_1}, b_{N_2}, \dots, b_{N_s}$ , lower dimensional cluster centers are selected in each cluster  $C_l$ ,  $l = \overline{1, k}$ , based on the products  $b_{N_1}, \dots, b_{N_s}$  only:

$$X_l^N = (x_{N_1}^l, \dots, x_{N_s}^l). \quad (7)$$

The dimensionality  $N_s$  of the cluster center here is lower than  $n$ , because it is equal to the number of products  $N_s$  evaluated by the user  $a_N$ .

Then the Euclidean distances

$$\rho(V_N, X_l^N) = \sqrt{\sum_{i=1}^s (V_{NN_i} - x_{N_i}^l)^2}, l = \overline{1, k} \quad (8)$$

between the ratings  $V_N$  and lower dimensional cluster centers are calculated. The user  $a_N$  is allocated to the cluster, where the distance (8) is lower, i.e.  $a_N \in C_{l^*}$ , where  $l^* = \arg \min_{l=1, k} \rho(V_N, X_l^N)$ .

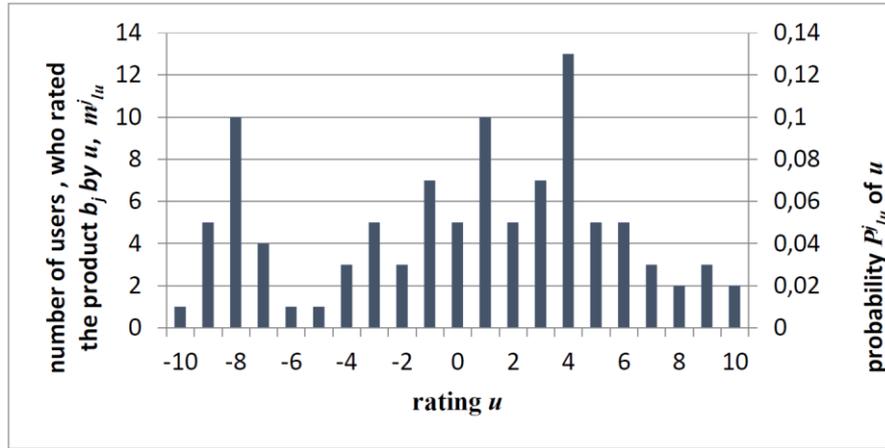


Figure 2. Example of the distribution of product rating in the cluster  $C_l$

Afterwards, it is possible to offer the best rated products to the user  $a_N$  of the users from the cluster  $C_{l^*}$ .

Each product  $b_j$  in the cluster  $C_l$  has the distribution of rating, which shows how many users of the cluster  $C_l$  provided the rating  $u$ ,  $u \in \{u_{min}, \dots, u_{max}\}$ , for this product (see Fig. 2). The height of the column  $m_{lu}^j$  illustrates how many users of the cluster  $C_l$  defined the rating  $u$  for the product  $b_j$ . Note that  $\sum_{u=u_{min}}^{u_{max}} m_{lu}^j = m_l$ .

Fig. 2 defines the function of the distribution density of the particular rating  $u$ . The scale on the right side of Fig. 2 shows the probability that the users of the cluster  $C_l$  will provide the evaluation  $u$  of the product  $b_j$ :

$$P_{lu}^j = P(V_{ij} = u, \text{ if } a_i \in C_l) = \frac{m_{lu}^j}{m_l}. \quad (9)$$

Note that  $\sum_{u=u_{min}}^{u_{max}} P_{lu}^j = 1$ .

Using formula (10), it is possible to calculate the average rating given by the users of the cluster  $C_l$  for the product  $b_j$ :

$$\bar{V}_l^j = \frac{1}{u_{max} - u_{min} + 1} \sum_{u=u_{min}}^{u_{max}} P_{lu}^j u. \quad (10)$$

The product with the highest average rating in the cluster  $C_l$  is recommended for the new user  $a_N$ . If this product has already been offered for this user, then the system recommends the product with the second in size rating, and so on.

After the new user has evaluated the recommended product, the total number of his evaluated products increases, it means:  $s = s + 1$ .

The calculations above are repeated starting from formula (7), in which the evaluations of  $s$  products by the new user are used to calculate the lower dimensional cluster centers in each cluster  $C_l$ ,  $l = \bar{1}, \bar{k}$ , based on the products  $b_{N_1}, \dots, b_{N_s}$ .

After the new user has finished the work (after using and rating the offered or chosen products), the matrix  $V$  is extended to a new row with the ratings of this user,  $m = m + 1$ .

## 5. Experiments

The method proposed to create recommendations is based on clustering of users. In order to get the optimal recommendations, it is necessary to determine the proper number of clusters  $k$ . The experimental research should disclose dependences of the recommendation efficiency on the number of clusters. In addition, this research should reveal whether the user classification is an acceptable way in the creation of recommendations to the new users.

The proposed method is based on the principle that the users from the same cluster are similar in their behaviour. So, the comparison of experiments without clustering (i. e.  $k = 1$ ) may be used for evaluating the advantages of clustering.

The flowchart of the experimental research contains four main items:

1. First Jester data set<sup>1</sup> is used. The data of 24983 users, who have rated at least 36 products, are stored in this database. The database is presented in the form of a matrix, the rows of which correspond to different users. The first column shows how many products have been evaluated by a particular user, and other columns store the user's evaluation of products in the interval  $[-10; 10]$ . The entries of the table are filled with meaning 99, if there is no evaluation of the product by the user. This database is exceptional due to a high density of evaluations (each user has evaluated a relatively high number of products). The density of evaluations amounts up to 50%, so each user has approximately evaluated 50 products out of 100. Moreover, 7200 users have rated all the 100

products. Therefore, this database is very good for our experiments, because the clustering by the proposed method is based on the users, who have rated all the products.

2. The experiments, in this paper, are carried out on the basis of data of these 7200 users. These users are divided into two subsets:

- a) the basic subset, consisting of  $m$  users (in our case,  $m = 6200$ );
- b) the validation subset, consisting of  $m_v$  users (in our case,  $m_v = 1000$ ).

3. The users from the validation subset are considered as the new users, for which recommendations are generated. The known evaluations by these users allow us to estimate the efficiency of the proposed method. The decision on belonging of the new user to a particular cluster is based on  $s$  new ratings by the new user. Then a recommendation of the new product is generated. The response of the users from the validation set to the recommended products is known.

4. In order to draw objective conclusions, calculations that disclose the new user's behaviour after rating the product  $s$ , are done a lot of times and the average results obtained. Let us fix the value of  $s$ . Then, for each user from the validation set, 100 experiments were done with randomly selected product sets  $\{b_{N_1}, \dots, b_{N_s}\}$ . The average of the results over all randomly selected product sets and all users from the validation set is found out for different  $s$ . Let us denote the average rating of the offered product  $s + 1$  over all the users by  $\bar{u}_{s+1}$ , when the ratings of the previous  $s$  products are known. The results, gained during the experiments, are presented in Fig. 3 and Table 1.

From the diagrams of Fig. 3, we see that in some starting interval  $s \in [1, s_{opt}]$  the meaning of  $\bar{u}_{s+1}$  is growing. Here  $s_{opt} = \arg \max_{s=1, n-1} \bar{u}_{s+1}$  is such a value of  $s$  where  $\bar{u}_{s+1}$  is maximal. Denote the maximal value of  $\bar{u}_{s+1}$  for all  $s = \bar{1}, n - 1$  by  $\bar{u}_{max}$ . Here  $n$  is the number of products, equal to 100 in this case. The maximal growth of  $\bar{u}_{s+1}$  is defined by  $\bar{u}_{max} - \bar{u}_2$ . The maximal growth increases with an increase in the number  $k$  of the user clusters, however, the best result (the highest value of  $\bar{u}_{s+1}$ ) is obtained not with the highest or lowest number of the clusters. Therefore, it is some optimal number of clusters. In the interval  $s \in [s_{opt}, n - 1]$ , we observe a decrease of  $\bar{u}_{s+1}$ . In the case  $s = n - 1$ , the value of  $\bar{u}_{s+1} = \bar{u}_n$  becomes the average of ratings of all the products of all the users.  $\bar{u}_n$  is the minimal value for all  $s = \bar{1}, n - 1$ . The exact value of  $\bar{u}_n$  in this experiment is 1.066.

All the experimental results are presented in Table 1 in detail. They ground the existence of the optimal number of clusters. The number of clusters varies in the interval  $k \in [1, 200]$ . Here  $s^*$  is such a value of  $s$ ,

<sup>1</sup> <http://goldberg.berkeley.edu/jester-data/jester-data-1.zip>

**Table 1.** Experimental results of the dependence on the number of clusters

$k$	$\bar{u}_2$	$s^*$	$\bar{u}_{max}$	$s_{opt}$	$\bar{u}_{max} - \bar{u}_2$	$\bar{u}_n$	$\bar{u}_{mid}$
1	3,877	-	3,877	1	0,000	1,081	-
2	3,949	36	4,048	11	0,099	1,068	4,034
3	3,936	38	4,045	13	0,109	1,051	4,020
5	3,929	44	4,032	16	0,102	1,062	4,012
7	3,939	60	4,198	20	0,259	1,067	4,148
10	3,948	68	4,235	24	0,287	1,081	4,196
15	3,851	75	4,232	22	0,387	1,080	4,165
20	3,801	79	4,204	28	0,403	1,073	4,164
30	3,677	86	4,210	26	0,534	1,062	4,160
50	3,355	92	4,131	39	0,796	1,081	4,120
70	3,169	94	4,054	46	0,884	1,081	4,035
100	3,116	94	3,940	50	0,825	1,074	3,936
150	2,495	97	3,976	44	1,481	1,067	3,968
200	2,190	98	3,916	56	1,726	1,062	3,904

where  $\bar{u}_2 = \bar{u}_{s^*}$ , i.e. where the decreasing value of  $\bar{u}_{s+1}$  becomes equal to the starting value  $\bar{u}_2$ . Denote the value of  $\bar{u}_{s+1}$  at the middle point of the interval  $s \in [1, s^*]$  by  $\bar{u}_{mid}$ . This characteristic is presented in Table 1 and may disclose some properties of  $\bar{u}_{s+1}$ .

The results of Table 1 are summarized in Fig. 4. The dependence of  $\bar{u}_2, \bar{u}_{max}$  and  $\bar{u}_n$  on the number  $k$  of clusters is presented in Fig. 4. The number of clusters is fixed in the logarithmic scale because of the necessity to observe the specifics of dependences on the large and small number  $k$  of clusters.

It is easy to notice from Table 1 and Fig. 4 that the lowest  $\bar{u}_2$  meaning ( $\bar{u}_{s+1}$  meaning as  $s = 1$ ) is obtained when the number of clusters is the largest one (in this case,  $k = 200$ ). It is possible that this meaning can be constantly decreasing with the growth of the number of clusters. The highest  $\bar{u}_2$  values are obtained, when the number  $k$  of clusters belongs to the interval  $[2; 10]$ . However, the maximal values of  $\bar{u}_{s+1}$  are achieved, as  $k \in [7; 30]$ . In all the cases, there is no need to choose a large number of clusters.

When we have a small amount  $s$  of the rated products by the new user  $a_N$ , we cannot be quite sure that the decision on a proper cluster is really good because of the instability of decision. However, when  $s$  reaches a particular size, the cluster, which the user is assigned to, does not change. Such a cluster may be assumed to be optimal for a particular new user. It does not mean that low values of  $s$  are not acceptable: there may appear suitable products with good ratings in other clusters, as well.

It follows from Fig. 3, that  $\bar{u}_{s+1}$  is growing until it reaches the highest value and then it monotonously decreases up to  $\bar{u}_n$ , i.e. the average of all the users and all the products. As mentioned above,  $s^*$  indicates where the decrement of the product rating becomes equal to the first one ( $\bar{u}_2$ ). It is the end point of  $s$  interval, where

the clustering helps to get good results. This interval is  $[1, s^*]$ . Table 1 indicates that this interval becomes wider with an increase in the number of clusters. When the number of clusters is equal to 150 and more,  $s^*$  becomes close to the maximum possible  $s$  value ( $n - 1$ ). In the analysed example,  $s^* = 98$ , as  $k = 200$ .

The maximal value  $\bar{u}_{max}$  of  $\bar{u}_{s+1}$  for all  $s = \overline{1, n - 1}$  varies depending on the number of clusters  $k$ . In the analysed case (see Table 1),  $\bar{u}_{max} = \bar{u}_2 = 3,877$  as  $k = 1$ , and  $\bar{u}_{max} = \bar{u}_{12} = 4,048$  as  $k = 2$ . It means that the clustering allows us to get 4,5% of  $\bar{u}_{max}$  increase, when the number of clusters is  $k = 2$ . The highest  $\bar{u}_{max}$  value is achieved as  $k \in [7; 30]$ . The highest value ( $\bar{u}_{max} = 4,235$ ) is achieved as  $k = 10$ . As compared with the case  $k = 1$ , we get here 9,2% increase of  $\bar{u}_{max}$ .

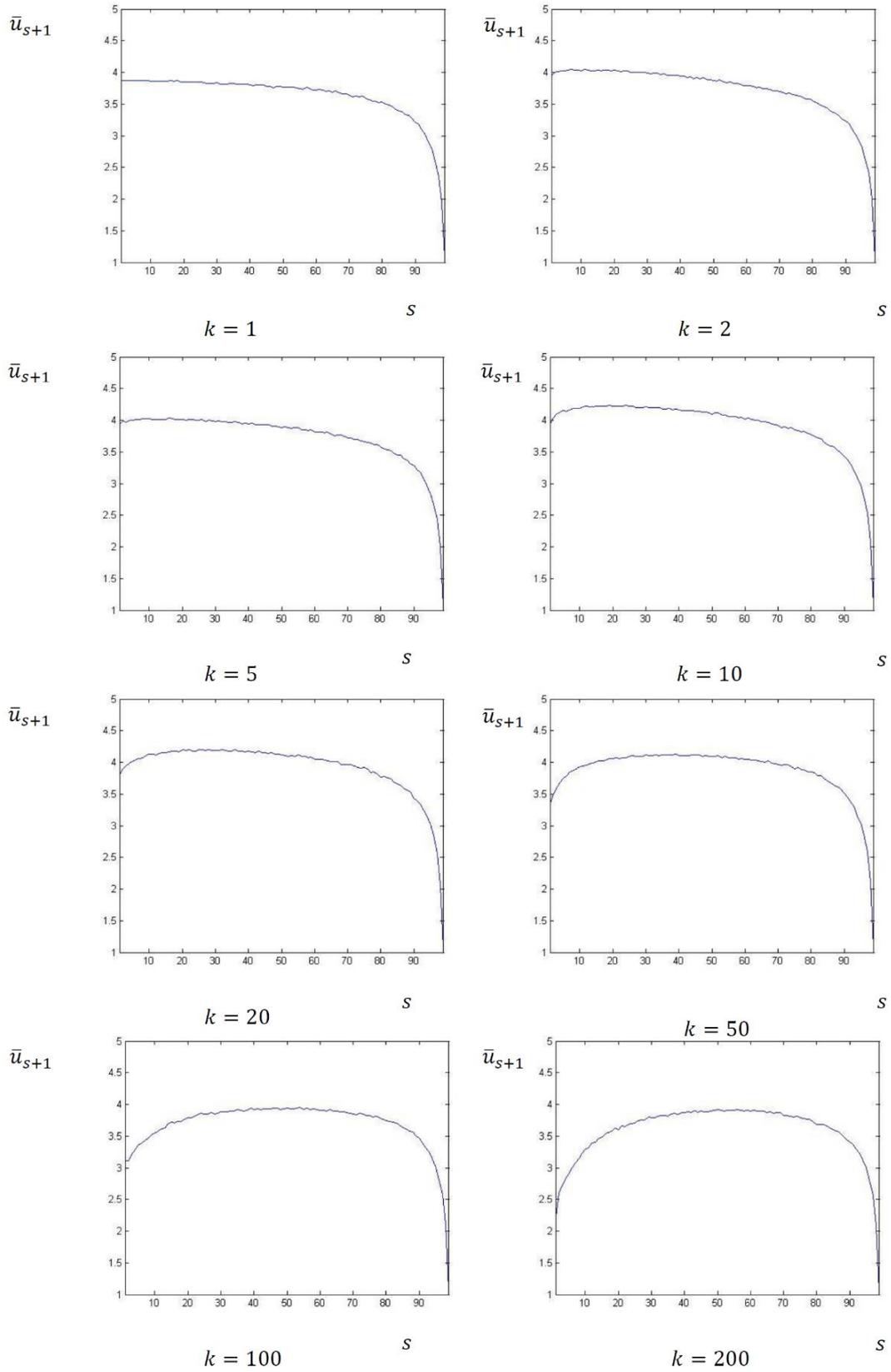
The value  $s_{opt}$  of  $s$ , where  $\bar{u}_{s+1}$  is maximal ( $\bar{u}_{max}$ ), has a tendency to increase when the number of clusters  $k$  is growing. As  $k = 10$ ,  $\bar{u}_{max}$  is maximal. In this case, the optimal  $s$  is  $s_{opt} = 24$ . Table 1 allows us to conclude that  $s_{opt} \in [20; 28]$ , if we want to get the largest  $\bar{u}_{max}$ . It means that the best results are obtained when the ratings of products of the new user include about 25% of all the existing products.

The maximal growth of  $\bar{u}_{s+1}$ , defined by  $\bar{u}_{max} - \bar{u}_2$ , increases with an increase in the number  $k$  of clusters. If  $k = 1$ ,  $\bar{u}_{max} = \bar{u}_2$ , that is why  $\bar{u}_{max} - \bar{u}_2 = 0$ , but if  $k = 200$ ,  $\bar{u}_{max} - \bar{u}_2 = 1,726$ . It means that, if  $k$  is higher, it is required to have more ratings  $s$  of products from the new user in order to reach  $\bar{u}_{max}$ . In our case,  $\bar{u}_{max} = 3,916$ ,  $s_{opt} = 56$ ,  $\bar{u}_2 = 1,726$ , as  $k = 200$ . Since  $\bar{u}_{max}$  as  $k = 200$  is higher than  $\bar{u}_{max}$ , as  $k = 1$ , about 1% only, we can see that a large number of clusters is not effective.

The experimentally obtained values of  $\bar{u}_n$  are similar and near to the average of ratings of all the products by all the users 1.066.

The value of  $\bar{u}_{s+1}$  at the middle point of the interval  $s \in [1, s^*]$  is not coincident with  $\bar{u}_{max}$ , however, it is similar. Therefore, such a property of the middle point

may be useful in constructing faster algorithms for seeking approximate  $s_{opt}$ .



**Figure 3.** Dependence of the average rating  $\bar{u}_{s+1}$  of the offered  $(s + 1)$ -st product on the number  $k$  of clusters and on the amount  $s$  of the already rated products

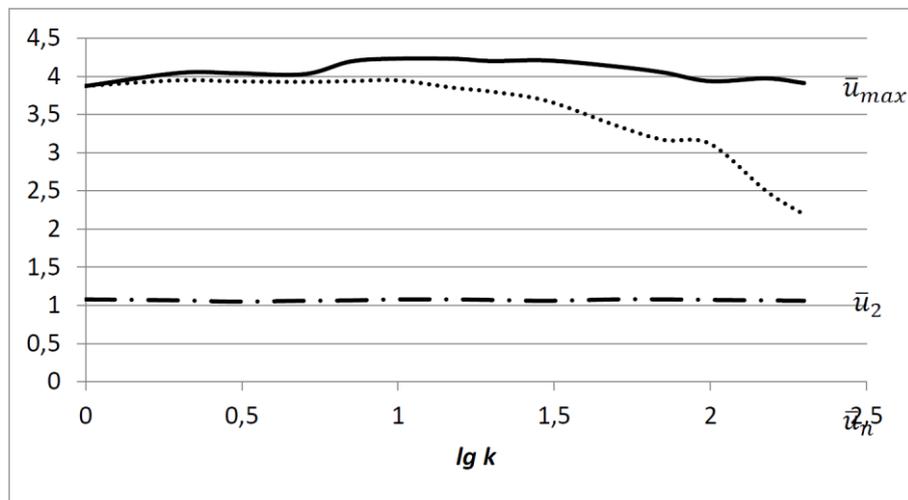


Figure 4. The dependance of  $\bar{u}_2$ ,  $\bar{u}_{max}$  and  $\bar{u}_n$  on the number of clusters  $k$

## 6. Conclusions

In this paper, the new method of recommendations is created to evaluate specific user groups. The efficiency of the application of the user clustering is examined and evaluated with a view to improve the recommendations.

In order to get the best recommendations, it is necessary to set the optimum number  $k$  of users' clusters. In the case of one cluster ( $k = 1$ , no clustering), we assume that all the users are similar. Therefore, such case may be considered as a datum-level to evaluate the experimental results, where similar users are clustered.

The experimental research with first *Jester* database has shown that the optimum number  $k$  of clusters here belongs to the interval  $[7;30]$ . The best result is gained as  $k = 10$ , where the maximal average rating  $\bar{u}_{max}$  of the offered product over all the users increases up to 9,2%, as compared with the case  $k = 1$ , i.e. when there is no clustering.

The best recommendations are obtained when the history of the new user's evaluations of products contains 25% of all the products in the database.

The essential increase in the number of clusters is not reasonable. If  $k = 200$  clusters are used, the maximal average rating  $\bar{u}_{max}$  of the offered product over all the users is only by 1% higher than that in the case where there is no clustering.

The evaluation of peculiarities of user groups, using the user clustering, improves the recommendations. The research has disclosed dependencies of the efficiency of recommendations on the number of clusters. The optimal number of clusters is different for various databases. However, the character of dependencies remains similar.

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