Application and Comparison of the Intelligent Algorithms to Solve the Fractional Heat Conduction Inverse Problem

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Abstract. This paper describes an application of intelligent algorithms to reconstruct the boundary condition of the second kind in the fractional heat conduction equation. For this purpose, a functional defining the error of approximate solution must be minimized. To minimize this functional two Ant Colony Optimization (ACO) algorithms were used and compared. In order to reduce the computational time, the calculations were performed in a parallel (multi-threaded) way. The paper presents also some examples to illustrate the accuracy and stability of the presented algorithms.

Keywords: Intelligent Algorithm, Ant Colony Optimization Algorithm, Inverse Problem, Identification, Time Fractional Heat Conduction Equation.

1. Introduction

In many fields of science the inverse problems are some of the most important issues. They have found the wide applications in various disciplines, for example, in physics, control theory or signal processing, since the solutions of the inverse problems allow to fit properly the input parameters of considered model on the basis of the observational output results [12-15]. In this paper the inverse problem of the fractional heat conduction equation [4,5] is investigated in which, basing on the temperature measurements, the heat flux occurring in boundary condition is reconstructed.

Recently, in solving various practical and theoretical problems the artificial intelligence algorithms have been used [1,8,12-15,21,32,36-40]. Considering the artificial intelligence algorithms we can distinguish, among them, the optimization algorithms based on the natural behavior of insects, like for example the Artificial Bee Colony algorithm [16–18,30], the Ant Colony Optimization algorithm [10,11,34] or the firefly algorithm [32]. The main advantage of optimization algorithms grounded on the artificial intelligence is the fact that they do not need any requirements, except the existence of the solution of discussed problem. Moreover, in many cases these algorithms provide better results than the conventional methods and are also easier to implement.

Many different types of physical and technical phenomena is modeled lately with the aid of the fractional order derivatives [6,7,9,19,22,29,31]. For example, we can find the applications of fractional derivative in electrical engineering [23], control sciences [6,9] and mechanics [7]. It happens quite often that the mathematical models expressed by means of the fractional order derivatives describe the discussed process better than the conventional models derived from the integer order derivatives [27,43]. One of the first papers describing the method of fractional calculus applied for the classical inverse heat conduction problem is paper [2]. Another initial works investigating the inverse problem for the heat conduction equation of fractional order are the works by Murio [24, 25]. Also many other articles dealing with the various kinds of problems by using the fractional calculus appeared in recent times, see for example [4,5,20,28,41,42].

The present paper describes an application of the parallel versions of two different Ant Colony Optimization algorithms to reconstruct the heat flux at the boundary of given area where the temperature distribution is described with the aid of the heat conduction equation of fractional order. For this purpose, a functional defining the error of

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approximate solution is minimized. The inspiration for developing the used ant algorithms was taken from the behavior of the ant swarms, widely regarded as very intelligent communities, especially from their tactics in search for the shortest path connecting the ant hill with the source of food. Both proposed algorithms have the same name, however they run differently. In order to speed up the solving procedures we used the parallelization of the used ant algorithms which significantly reduced the computation time. The direct problem in the proposed approach was solved by applying the implicit finite difference method [3, 26]. The paper includes also some examples illustrating the accuracy and stability of the presented procedures.

2. Formulation of the problem

We consider the following heat conduction equation with the fractional derivative with respect to time

\[ c\rho \frac{\partial^{\alpha} u(x,t)}{\partial t^{\alpha}} - \lambda \frac{\partial^{2} u(x,t)}{\partial x^{2}} = 0, \quad x \in [0,L], t \in [0,t^*], \]

defined in region

\[ D = \{(x,t) : x \in [0,L], t \in [0,t^*)\}, \]

where \( c, \rho, \lambda \) denote the specific heat, density and thermal conductivity, respectively. Equation (1) is completed with the initial condition

\[ u(x,0) = f(x), \quad x \in [0,L], \]

and boundary conditions of second and third kind

\[ -\lambda \frac{\partial u}{\partial x}(0,t) = q(t), \quad t \in (0,t^*), \]

\[ -\lambda \frac{\partial u}{\partial x}(L,t) = h(t) \left( u(L,t) - u^{\infty} \right), \quad t \in (0,t^*), \]

where \( h \) is the heat transfer coefficient and \( u^{\infty} \) denotes the ambient temperature.

The fractional derivative occurring in equation (1) will be expressed as the Caputo derivative. For \( \alpha \in (0,1) \) the Caputo derivative is defined by formula

\[ \frac{\partial^{\alpha} u(x,t)}{\partial t^{\alpha}} = \frac{1}{\Gamma(1-\alpha)} \int_{0}^{t} \frac{\partial u(x,s)}{\partial s} (t-s)^{-\alpha} ds, \]

where \( \Gamma \) is the Gamma function.

We assume that the function \( q \), occurring in boundary condition (3), is in the following form

\[ q(t) = \begin{cases} a_1, & t \in [0,t_1), \\ a_2, & t \in [t_1,t_2), \\ a_3, & t \in [t_2,t^*), \end{cases} \]

where \( a_1, a_2, a_3 \in \mathbb{R} \). Thus, the considered inverse problem consists in identification of coefficients \( a_1, a_2, a_3 \) (by this means the boundary condition (3) will be reconstructed) basing on the selected values of function \( u \) in the set of points of domain \( D \). The known values of function \( u \) (the input data) in selected points \((x_i,t_j)\) of domain \( D \) will be denoted as

\[ u(x_i,t_j) = \hat{U}_{ij}, \quad i = 1,2,\ldots,N_1, \quad j = 1,2,\ldots,N_2, \]

where \( N_1 \) is the number of sensors and \( N_2 \) means the number of measurements at each sensor.

By solving the direct problem for the fixed values of coefficients \( a_1, a_2, a_3 \) we obtain the approximate values of function \( u \) in selected points \((x_i,t_j) \subseteq D \). These values will be denoted by \( \hat{U}_{ij}(q) \). With the aid of these values and the input data \( \hat{U}_{ij} \) we create the functional defining the error of approximate solution

\[ F(q) = \sum_{i=1}^{N_1} \sum_{j=1}^{N_2} (U_{ij}(q) - \hat{U}_{ij})^2. \]

The above functional expresses the differences between the measured values of temperature (the input data) and the calculated values obtained from the solution of direct problem for the given form of \( q \). Minimization of functional (8) enables to reconstruct the form of function \( q \) so that the retrieved values of temperature will be as close as possible to the measurements.

3. Method of solution

Direct problem, defined by equations (1)-(4) for the fixed values of \( a_1, a_2, a_3 \) was solved by using the implicit finite difference method [3,26].

In order to reconstruct function \( q \) (that is the boundary condition (3)) we needed to minimize functional (8), as it was mentioned before, by applying two different Ant Colony Optimization algorithms. Both are the heuristic algorithms, therefore the calculations in each discussed case were repeated certain number of times. In addition, calculations were performed in the parallel (multi-threaded) way, thanks to which we managed to significantly reduce the computation time. Let us present and describe both used algorithms.

Ant Colony Optimization algorithm I

Creation of the ACO algorithm was inspired by observation of the ant colonies behavior, widely regarded as the efficient and intelligent communities. The ants searching for the food sources communicate with each other by leaving the pheromone trace on the ground. Pheromone is a chemical substance produced with each other by leaving the pheromone trace on the ground. Pheromone is a chemical substance produced by this means the boundary condition (3) will be solved by applying the implicit finite difference method [3, 26]. The paper includes also some examples illustrating the accuracy and stability of the presented procedures.

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Such simple mechanism is imitated in the following way. For initializing the procedure we need to set up the number $M$ of ants in one population, number $I$ of iterations and the initial value $a_1$ of the narrowing parameter. The role of ants is played by the vectors $x^{k}, k=1,...,M$, for the start randomly dispersed in the considered region. And the goal of the procedure is to bring them as close as possible to the source of food, that is to the sought minimum of function $F$. In each step one of the individuals is selected as the best one and denoted as $x^{\text{best}}$ - the one for which the minimized function $F$ takes the lowest value, that is the one which is currently the closest to the source of food. Next, to vector $x^{\text{best}}$ $M$ vectors $dx$ is added, the elements of which are randomly selected from the range $[-a_j,a_j]$. The new vectors, obtained in this way, represent the new locations of ants. Thus, in each iteration the vector representing each ant is updated $F$ times. After each such cycle the ant dislocation range $\alpha$ is tenfold decreased which simulates the process of the pheromone trail evaporation, because thanks to this the ants are forced to gather more and more densely around the best solution.

The presented approach is based on the algorithm presented in [34]. Details of the procedure, used in the current paper including the parallelization of ACO algorithm, are listed below. Let us recall that thanks to this procedure we intend to determine the values $a_1$, $a_2$, $a_3$ on the way of minimizing functional (8).

We assume the following symbols:

$$F = \text{minimized function}, \quad x = (x_1, ... , x_d) \in D, \quad nT - \text{number of threads}, \quad M = nT \cdot p - \text{number of ants in one population}, \quad I - \text{number of iterations (in practice } F : I )$$

Steps of ACO algorithm are as follows.

### Initialization of the algorithm

1. Setting parameters of the algorithm $nT$, $M$, $I$ and $a_j$ ($j = 1, 2, ... , I$).
2. Generating the initial population $x^i = (x^{i}_1, x^{i}_2, ... , x^{i}_d)$, where $x^i \in D, \ k = 1, 2, ... , M$.
3. Dividing the population on $nT$ groups (groups will be calculated in parallel way).
4. Determining the value of minimized function for each ant in population (parallel calculation).
5. Determining the best solution $x^{\text{best}}$ (the best ant) in population.

### Iterative process

6. Random selection of vector shifts $dx^k = (dx^{k}_1, dx^{k}_2, ... , dx^{k}_d)$, where $-a_j \leq dx^{k}_i \leq a_j$.
7. Generating the new location of ant colonies $x^k = x^{\text{best}} + dx^k, \ k = 1, 2, ... , M$.
8. Dividing the population on $nT$ groups (groups will be calculated in parallel way).
9. Determining the value of minimized function for each ant in population (parallel calculation).

10. Determining the best solution in current population. If this solution is better than $x^{\text{best}}$, then we accept this solution as $x^{\text{best}}$.

11. Steps 6 - 10 are repeated $F$ times.
12. Changing the values of narrowing parameters $a_j$: $a_j = 0.1a_j$.
13. Steps 6 - 12 are repeated $F$ times.

The control block diagram of the procedure serving for the reconstruction of heat flux $q$ by using the described above ACO algorithm I is presented in Figure 1.

### Ant Colony Optimization algorithm II

Now we describe the other version of ACO algorithm, presented also in [11]. The inspiration for developing this algorithm was also taken from the natural behavior of the swarm of ants. In this case, the solutions are considered as the pheromone spots. At the beginning, they are distributed randomly in the considered area. Next, the pheromone spots (solutions) are ranked in dependence on their quality and then to each pheromone spot the probability (corresponding to its quality) is assigned. The better is the solution, the higher is its probability of selection. In this way, we create the archive of solutions. In each iteration, $M$ ants construct $M$ new solutions (new pheromone spots) by using the probability density function (for example, the Gaussian function). Then the archive of solutions is updated by ranking the new and old solutions and by rejecting the $M$ worst solutions. And similarly as in case of the ACO algorithm I, the ACO II was also adapted to parallel computing.

In order to describe this algorithm in details, we assume the following symbols: $F = \text{minimized function}, \ x = (x_1, ... , x_d) \in D, \ nT - \text{number of threads}, \ M = nT \cdot p - \text{number of ants in one population}, \ I - \text{number of iterations}, \ L - \text{number of pheromone spots}, \ q, \ \xi - \text{parameters of algorithm}$

Steps of ACO algorithm II are as follows.

### Initialization of the algorithm

1. Setting parameters of the algorithm $nT$, $L$, $M$, $I$, $q$ and $\xi$.
2. Generating $L$ pheromone spots (solutions) and creating the initial archive $T_0$.
3. Computing the values of minimized function for every pheromone spot and ranking the elements in $T_0$, according to their qualities (from the best one to the worst one).

### Iterative process

4. Assigning the probabilities to the pheromone spots according to the formula

$$p_l = \frac{\omega_1}{\sum_{l=1}^{L} \omega_l} \quad l = 1, 2, ..., L,$$

where $\omega_l$ is the weight associated to the $l$-th solution and expressed by the formula

\[ ... \]
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\[ \omega_l = \frac{1}{qL\sqrt{2\pi}} e^{-\frac{(l-1)^2}{2q^2L^2}}. \]

5. The ant chooses the \( l \)-th solution according to probabilities \( p_l \).

6. The ant transforms the \( j \)-th \((j=1,2, \ldots, n)\) coordinate of the \( l \)-th solution \( s_j^l \) by sampling the neighborhood by using the probability density function (Gaussian function):

\[ g(x, \mu, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(x-\mu)^2}{2\sigma^2}}, \]

where \( \mu = s_j^l \), \( \sigma = \frac{\xi}{L-1} \sum_{p=1}^L |s_p^l - s_j^l|. \)

7. Steps 5-6 are repeated for each ant. Hence, we obtain \( M \) new solutions (pheromone spots).

8. Dividing the population on \( nT \) groups (groups will be calculated in parallel way).

9. Determinating the value of minimized function for each new solution in population (parallel calculation).

10. Updating the archive \( T \).

11. Steps 4-9 are repeated \( J \) times.

The control block diagram of the procedure serving for the reconstruction of heat flux \( q \) by using the described above ACO algorithm II is presented in Figure 2.

Figure 1. Control block diagram of the procedure reconstructing the boundary condition by using ACO I
4. Experimental results

Proposed algorithm were implemented in program C# 5.0 on computer with the following parameters:
CPU: Intel Core i5-3230M 2.60GHz; OS: Microsoft Windows 10 Home; RAM: 8.00 GB. Multithreaded calculations were performed by using the Task Parallel Library.

We consider eqs. (1)-(4) with the following data $t^* = 500[s], \ L = 1[m], \ c = 2[J/kg \cdot K], \ \rho = 2[kg/m^3], \ \lambda = 2[W/m \cdot K], \ u^\infty = 0[K]$. $f(x) = 0[K], \ \alpha = 0.5$

$$h(t) = 1400\exp\left[-\frac{45}{455}\ln\left(\frac{7}{4}\right)\right][W/m^2 \cdot K].$$

The ACO algorithm I was executed for parameters $nT = 4, M = 12, I = 3, \alpha_1 = 1$,

whereas the ACO algorithm II was executed for parameters $nT = 4, M = 12, L = 8, I = 20$.

Basing on the above data, we can calculate the number of executions of the minimized function during the performance of each procedure. So, in case
of ACO algorithm I this number was equal to 336 and in case of ACO II algorithm it was equal to 252.

Function $q$ is sought in the following form

$$q(t) = \begin{cases} a_1, & t \in [0,t_1), \\ a_2, & t \in [t_1,t_2), \\ a_3, & t \in [t_2, t^*). \end{cases}$$

Exact values of $a_1$, $a_2$, $a_3$ are known and are equal to 200, 800 and 1300, respectively.

Inverse problem consists in reconstruction of the values of coefficients $a_1$, $a_2$ and $a_3$ basing on the measurement data (input data) $\hat{U}_{ij}$. The grid used to generate these data was of the size $300 \times 5000$.

We assume only one measurement point $x_p = 0.1$ ($N_f = 1$) and the measurements from this point were read in three courses, at every 0.5, 1, 2s ($N_p = 1000$, 500, 250). In order to investigate the impact of the measurement error on the quality of reconstruction and the stability of procedures, we perturbed the input data by the pseudorandom error of 1, 2 and 5% sizes.

In the process of minimizing functional (8), the direct problem must be solved many times. The grid used to solve the direct problem was of the size $100 \times 1000$. Let us notice that it is a different density than the the density of the grid used to generate the input data.

To determine the minimum of functional (8) the ACO I and ACO II algorithms were used. Both are the heuristic algorithms, therefore it is required to repeat the calculations a certain number of times. In this paper, we repeated the calculations ten times for each case and the ant population counted twelve individuals ($M = 12$). In order to make the procedures more quick working, and therefore more efficient, the ACO algorithms were adapted for parallel (multi-threaded) computations.

Tables 1, 2, 3 present the obtained reconstructions of coefficients $a_1$, $a_2$, $a_3$ in dependence on the size of input data disturbance at the measurement point $x_p = 0.1$.

The results of reconstruction for both algorithms are very similar. As we can see by analyzing the tables, the coefficients $a_i$ ($i = 1, 2, 3$) are reconstructed very well in all cases. In each considered case, the relative error of the coefficient restorations does not exceed 0.45%.

Figure 3 and 4 show the comparative convergence graphs of algorithms ACO I and ACO II in case of the measurements taken at every 1s. It appears that the ACO algorithm I converges slightly faster than the ACO algorithm II, however the slightly smaller value of minimized functional is obtained for the ACO algorithm II.

### Table 1. Results of computation in case of measurements at every 0.5s in measurement point $x_p = 0.1$ ($\bar{a}_i$ - reconstructed value of $a_i$, $\delta_{\bar{a}_i}$ - percentage relative error of $a_i$ reconstruction, $\sigma$ - standard deviation of results ($i = 1, 2, 3$))

<table>
<thead>
<tr>
<th>Noise</th>
<th>$\bar{a}_i$</th>
<th>$\delta_{\bar{a}_i}$ [%]</th>
<th>$\sigma$</th>
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<tr>
<td></td>
<td>ACO I</td>
<td>ACO II</td>
<td>ACO I</td>
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<tr>
<td>0%</td>
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### Table 2. Results of computation in case of measurements at every 1s in measurement point $x_p = 0.1$ ($\bar{a}_i$ - reconstructed value of $a_i$, $\delta_{\bar{a}_i}$ - percentage relative error of $a_i$ reconstruction, $\sigma$ - standard deviation of results ($i = 1, 2, 3$))

<table>
<thead>
<tr>
<th>Noise</th>
<th>$\bar{a}_i$</th>
<th>$\delta_{\bar{a}_i}$ [%]</th>
<th>$\sigma$</th>
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Table 3. Results of computation in case of measurements at every 2s in measurement point \( x_p = 0.1 \) (\( \bar{a}_i \) - reconstructed value of \( a_i \), \( \delta \bar{a}_i \) - percentage relative error of \( a_i \) reconstruction, \( \sigma \) - standard deviation of results (i=1,2,3))

<table>
<thead>
<tr>
<th>Noise</th>
<th>( \bar{a}_i )</th>
<th>( \delta \bar{a}_i ) [%]</th>
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Figure 3 and 4 show the comparative convergence graphs of algorithms ACO I and ACO II in case of the measurements taken at every 1s. It appears that the ACO algorithm I converges slightly faster than the ACO algorithm II, however the slightly smaller value of minimized functional is obtained for the ACO algorithm II.

One of the main indicators for evaluating the results of the temperature reconstruction are the errors in measurement point \( x_p = 0.1 \). Tables 4 and 5 show the errors of temperature reconstruction in the control point for measurements read at every 0.5, 1 and 2s. Hence we can say that, in case of both algorithms, the temperature at the measurement point is reconstructed very well. In most discussed cases, the slightly better results are obtained for ACO II, despite of the smaller number of executions of the objective function. The maximum relative error of the temperature reconstruction in each investigated case does not exceed 0.43% for ACO algorithm I and 0.41% for ACO algorithm II.

Figures 5 and 6 present the relative errors of reconstructing function \( q \), occurring in the boundary condition, for the measurements taken at every 0.5, 1 and 2s. In each considered case the relative error of function \( q \) restoration is lower than 0.72%. The worst results we obtained for the measurements read at every 2 seconds, that is for the most rare input data.
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Table 4. Errors of temperature reconstruction in measurement point \(x_p = 0.1\) for measurements at every 0.5 and 1s (\(\Delta_{av}\) - average absolute error, \(\Delta_{max}\) - maximal absolute error, \(\delta_{av}\) - average relative error, \(\delta_{max}\) - maximal average error)

<table>
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<tr>
<td>(\delta_{av}) [%]</td>
<td>0.0400</td>
<td>0.0724</td>
<td>0.0576</td>
<td>0.0633</td>
<td>0.1170</td>
<td>0.0452</td>
</tr>
<tr>
<td>(\delta_{max}) [%]</td>
<td>0.0662</td>
<td>0.1000</td>
<td>0.1151</td>
<td>0.0751</td>
<td>0.1401</td>
<td>0.0548</td>
</tr>
</tbody>
</table>

Table 5. Errors of temperature reconstruction in measurement point \(x_p = 0.1\) for measurements at every 2s (\(\Delta_{av}\) - average absolute error, \(\Delta_{max}\) - maximal absolute error, \(\delta_{av}\) - average relative error, \(\delta_{max}\) - maximal average error)

<table>
<thead>
<tr>
<th>Noise</th>
<th>0%</th>
<th>1%</th>
<th>2%</th>
<th>0%</th>
<th>1%</th>
<th>2%</th>
</tr>
</thead>
<tbody>
<tr>
<td>(\Delta_{av}) [K]</td>
<td>0.4441</td>
<td>0.2233</td>
<td>0.4656</td>
<td>0.2253</td>
<td>0.1195</td>
<td>0.1696</td>
</tr>
<tr>
<td>(\Delta_{max}) [K]</td>
<td>0.5757</td>
<td>0.3974</td>
<td>0.9095</td>
<td>0.3551</td>
<td>0.2101</td>
<td>0.1801</td>
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</tbody>
</table>

<table>
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<tr>
<th>Noise</th>
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<th>2%</th>
<th>0%</th>
<th>1%</th>
<th>2%</th>
</tr>
</thead>
<tbody>
<tr>
<td>(\Delta_{av}) [K]</td>
<td>0.3665</td>
<td>0.3527</td>
<td>0.5276</td>
<td>0.1866</td>
<td>0.1753</td>
<td>0.2596</td>
</tr>
<tr>
<td>(\Delta_{max}) [K]</td>
<td>0.5355</td>
<td>0.5050</td>
<td>0.7128</td>
<td>0.3051</td>
<td>0.2651</td>
<td>0.4051</td>
</tr>
</tbody>
</table>

In Figure 7 and 8 we can see the distributions of the temperature reconstruction errors in the control point \(x_p = 0.1\) in case of measurements read at every 0.5s.

We can observe that the error of temperature reconstruction slightly decreases comparing the results obtained for the exact input data and the 1% perturbed input data, but then it increases comparing the results obtained for 1% and 2% perturbed input data. These differences however are minimal and they are probably caused by the probabilistic nature of the used algorithms. In case of the perturbed input data we additionally deal with the disorder randomness. The reconstruction errors of the results obtained with the aid of ACO algorithm II are a little bit smaller than the ones obtained with the aid of ACO I algorithm.

Figure 5. Relative errors of function \(q\) reconstruction obtained for various perturbations of input data and for measurements at every 0.5, 1 and 2s (ACO I)

Figure 6. Relative errors of function \(q\) reconstruction obtained for various perturbations of input data and for measurements at every 0.5, 1 and 2s (ACO II)

An important issue is the calculation time. Both algorithms were adapted to parallel computing, which allowed to reduce significantly the computation time.

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Table 6 presents the times of single execution of each algorithm in dependence on the different number of threads. We terminated our research with four threads, since the usage of more than four threads resulted still in decreasing computation time, but it was not linear nor significant decrease. It may be noticed that the computation time of ACO II is smaller than the computation time of ACO I. This is due to the smaller number of executions of the minimized function needed by the second algorithm.

5. Conclusions

In this paper we considered the inverse problem for the heat conduction equation of fractional order. Goal of the inverse problem lied in the reconstruction of the second kind boundary condition. The direct problem was solved by using the implicit finite difference method and to minimize the proper functional two different Ant Colony Optimization algorithms (ACO I and ACO II) were used. In both cases, the obtained results were very good, by using these two algorithms we have received the satisfactory approximate solution.

The function \( q \), defining the boundary condition of the second kind, was reconstructed very well. The reconstruction errors did not exceed 0.75% and did not exceed the input errors. The relative errors of temperature reconstruction in the measurement point were minimal and did not exceed 0.43%. We obtained slightly better reconstructions of temperature in results obtained by applying the ACO algorithm II.

It is worth to mention that the used algorithms can be easily adapted to parallel computing which reduces significantly the computation time. Executing the algorithm for 4 threads made the computations about three times faster than without multithreaded approach. Computation time of the second algorithm was smaller due to the smaller number of executions of the minimized function, but the results were very similar.

As the future work the authors plan to apply the artificial intelligence algorithms for solving the inverse problems of fractional order, for example in case of the 2D fractional heat conduction equation and/or the 3D fractional heat conduction equation. We also intend to verify the developed procedures by executing the numerical experiment on the basis of data obtained from real measurements taken of real objects.

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References

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